







IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 37637-0008

Applicant:

Monika BAUER et al.

Confirmation No.: Not yet assigned

Appl. No.:

10/019,230

Examiner: Not yet assigned

Filing

December 28, 2001

Art Unit: Not yet assigned

Date:

Title:

THERMOSETTING PLASTICS AS SUBSTRATE MATERIALS FOR

OPTICAL SYSTEMS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 and 37 CFR §1.97

Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO/SB/08A is a listing of documents known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. §1.56 and §1.97. A copy of each of the listed documents are being submitted to comply with the provisions of 37 C.F.R. §1.97-1.99.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

The foregoing documents came to the Applicants' attention during a search of the corresponding PCT application. A copy of the International search report setting for the portion of each reference considered relevant by the examiner is attached.





The instant Information Disclosure Statement is being filed in compliance with 37 CFR §1.97(b) within three months from the filing date of the above-identified application, therefore, no fee is required in connection with its filing.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with M.P.E.P. §609.

Respectfully submitted,

Date: Ma. 28, 2002

HELLER EHRMAN WHITE & MCAULIFFE 1666 K Street, N.W., Suite 300 Washington, DC 20006

Telephone:

(202)

Facsimile:

(202) 912-2020

By Yun ?- You!

Colin G. Sandercock Registration No. 31,298 Customer No. 26633

26633

PATENT TRADEMARK OFFICE



PTO/SB/08A (10-01)

Approved

U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		Complete if Known				
				Application Number	10/019,230	
NFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	December 28, 2001 Monika Bauer	
				First Named Inventor		
				Group Art Unit	Not yet assigned	
(use as many sheets as necessary)			necessary)	Examiner Name	Not yet assigned	
heet	1	of	1	Attorney Docket Number	37637-0008	

			U.S. PATENT	OCUMENTS		
Examiner	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials *		Number - Kind Code ² (if known)				
	A1	US-5,246,782	9/21/93	Kennedy et al		
	A2	US- 4,604,435	8/5/86	Koshii et al.		
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
	Ì	US-				

		FOREIGN PA	TENI DOCO	MENIS		
Examiner	Cite No.1	Foreign Patent Document	Dublin-K	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
Initials*		Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Applicant of Cited Document		
	A3	WO 96/17020	6/6/96	S. Das		
	A4	WO 85/04780	10/24/85	R. Small		
	A5	EP 0 436 115 A2	7/10/91	J. Kester		
	A6	EP 0 499 492 A2	8/19/92	S. Yamada et al		
						

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.



¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the